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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/754,933	01/09/2004	Norman Paul Jouppi	200312802-1	9240
22879 7590 04/17/2009 HEWLETT PACKARD COMPANY P O BOX 272400, 3404 E. HARMONY ROAD INTELLECTUAL PROPERTY ADMINISTRATION FORT COLLINS, CO 80527-2400			EXAMINER PAUL, DISLER	
			ART UNIT 2614	PAPER NUMBER
			NOTIFICATION DATE 04/17/2009	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JERRY.SHORMA@HP.COM  
ipa.mail@hp.com  
jessica.l.fusek@hp.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/754,933	<b>Applicant(s)</b> JOUPI ET AL.	
	<b>Examiner</b> DISLER PAUL	<b>Art Unit</b> 2614	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 18 February 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-16; 18-28 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 25-28 is/are allowed.
- 6) ☒ Claim(s) 1; 3; 5-9; 14-16; 20; 21; 23-24 is/are rejected.
- 7) ☒ Claim(s) 2; 4; 10-13; 18-19; 22 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

***Response to Amendment***

The applicant's amended claim wherein "the delay is based on the position of the person to maintain equal arrival times of the sounds to the person as the person moves around to different locations at the second location" has been further analyzed and rejected (see office action below).

1. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

***Claim Rejections - 35 USC § 101***

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 21-24 are rejected under 35 U.S.C. 101 because as not falling within one of the four statutory categories of invention. The statutory “process” under 35 U.S.C. 101 must (1) be tied to another statutory category (such as a particular apparatus), or (2) transform underlying subject matter (such as an article or material) to a different state or thing.

While the instant claim recites a series of steps or acts to be performed, such as “obtaining , communicating and reproducing ” with regard to claim 21, the claim neither transforms underlying subject matter nor positively ties to another statutory category that accomplishes the claimed method steps, and therefore does not qualify as a statutory process.

### ***Allowable Subject Matter***

2. Claims 25-28 are allowed.

Re claim 25, None of the prior art of record disclose of the specific wherein the audio field is controlled based on the position of the head of the person, wherein audio signals applied to the plurality of speakers are multiplied by a ratio of a horizontal distance between the plurality of speakers and the head of the person to a horizontal distance between the plurality of speakers for reproducing and the center.

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- a. Claim 2 which cite same limitation as claim 25 above is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
  
- b. Claims 4, 10-13; 18-19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Re claim 4, none of the prior art of record disclose of the specific wherein a perceive volume of the sound by the person is equal and independent of the position of the head of the person as the person moves around the second location.

Re claim 18, none of the prior art of record disclose of the specific wherein the particular one of the audio signals is time delayed by: computing a desired delay by determining a distance between the head of the person and the particular means for reproducing, to determine a result and dividing the result by the speed of sound; an when the desired delay is substantially different from a current delay, gradually adjusting the current delay toward the desired delay.

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c. Claim 22 which cite same limitation as claim 2 above is objected to as being dependent upon a rejected base claim 21, but would be allowable (with overcoming the 101 rejection) and also if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1; 3; 5-9, 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boulanger et al. (US 2003/0067536 A1) and Jordan et al. (7,095,455 B2).

Re claim 1, Boulanger et al. disclose of a system for audio reproduction comprising: means for obtaining one or more audio signals that are representative of sounds occurring at a first location ("fig.1-2 wt (218-220); par[0026]"); means for communicating the audio signals from the first location to a second location of a person and means for determining a position of the head of the person in at least two dimensions at the second location by imaging the person and plural means for reproducing an audio field at the second location from the audio signals sounds emitted by each means for reproducing are

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controlled based on the position of the head of the person (fig.2; par[0041,0050,0035,0029,0027]sound from first location is adjusted based on position of head/including face at second location) and the position of the head of the person, wherein the plural means for reproducing are arranged spaced apart and directed toward a center and wherein a particular one of the audio signals applied to a particular one of the means for reproducing is delayed based on the position of the person as the person moves around to different locations at the second locations (fig.2; par [0041]/phase delay in regard to position with camera for the audio).

But, Boulanger et al. fail to disclose of the specific wherein one of the means for reproducing is time delayed based on the position of the person to maintain equal arrival times of the sounds to the person. But, Jordan et al. disclose of a system wherein the similar concept of sweet spot wherein one of the means for reproducing is time delayed based on the position of the person to maintain equal arrival times of the sounds to the person (fig.1 (27); col. 5 line 10-40/delay adjustment based on person for equal time). Thus, it would have been obvious for one of the ordinary skill in the art to have modified the combination with same concept of wherein one of the means for reproducing is time delayed based on the position of the person to maintain equal arrival times of the sounds to the person location for accurate reproduction of digital multi channel surround sound encoded audio.

Re claim 3, the system according to claim 1, wherein said means for determining repeatedly determines the position of the person and wherein said means for reproducing is continuously controlled in response to changes in the position of the head of the person ("par [0031,0035,0041])").

Re claim 5, the system according to claim 1, wherein each of the plural means for reproducing comprises a speaker ("fig.2/plural speakers").

Re claim 6, the system according to claim 1, further teach of the wherein each of the plural means for reproducing comprises at least a pair of vertically arranged speakers ("fig.2 wt (218,220)/speaker upper left and right").

Re claim 7, the system according to claim 1, wherein the position of the person is determined in three dimensions, including horizontal and vertical directions ("par[ 0027-0028]/camera to capture three-D dimension of object").

Re claim 8 has been analyzed and rejected with respect to claim 6.



Re claim 9, the system according to claim 8, However, Boulanger is silent in regard to the specific wherein a volume of reproduction by each of a pair of vertically arranged speakers is based on the position of the head of the person in the vertical direction. But, Boulanger did disclose of determining the Three dimensions of the head (including vertically/horizontally) directions and adjusting the volume based on such position and the each one of the channels audio field (fig.2; par [0041]), thus with the above disclosure it is inherent of the specific existence of such volume of reproduction by each of a pair of vertically arranged speakers is based on the position of the head of the person in the vertical direction.

Re claim 20, the system according to claim 1, further comprising means for displaying visual images to the user including a source of the sounds("fig.2 , par [0014]/means to display imagery to participants").

4. Claims 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boulanger et al. (US 2003/0067536 A1) and Jordan et al. (7,095,455 B2) and further in view of and Lau (US 6,553,272 B1).

Re claim 14, the system according to claim 1, wherein a particular one of the audio signals is multiplied by a factor related to the position

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to determine a desired signal level for the particular one of the audio signals (fig.1-3; par [0041]/sound signal to be adjusting/multiplied by a factor for the volume signal sound adjusting) .

But, the combined teaching of Boulanger and Jordan et al. as a whole, fail to disclose of the determining a desired signal level for the particular one of the audio signals and when the desired signal level is substantially different from a current signal level gradually adjusting the current signal level toward the desired signal level.

But, Lau disclose of a system wherein the similar concept of determining a desired signal level for the particular one of the audio signals and when the desired signal level is substantially different from a current signal level gradually adjusting the current signal level toward the desired signal level (fig.2-6; col.6 line 25-65) for purpose of eliminating popping noise when changing the volume level signal. thus, taking the combined teaching of Boulanger and Jordan et al. and Lau as a whole, it would have been obvious for one of the ordinary skill in the art to have modified the combined teaching of Boulanger and Jordan et al. as a whole, with the determining a desired signal level for the particular one of the audio signals and when the desired signal level is substantially different from a current signal level gradually adjusting the current signal level toward the desired signal level for purpose of eliminating popping noise when changing the volume level signal.

Re claim 15, the system according to claim 14, wherein the sounds are digitally sampled at a sampling rate and the current signal level is incrementally adjusted in uniform increments, one adjustment for each of a predetermined number of samples (fig.4-5; col.6 line 5-65).

Re claim 16, the system according to claim 15, wherein the increment is related to a difference between the desired signal level and the current signal level (see claim 15/increment as from desired to current level).

5. Claims 21-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boulanger et al. (US 2003/0067536 A1) and Lau (US 6,553,272 B1) Jordan et al. (7,095,455 B2).

Re claim 21, Boulanger disclose of a method for audio reproduction comprising: obtaining one or more audio signals that are representative of sounds occurring at a first location; communicating the audio signals from the first location to a second location of a person; determining a position of a head of the person in at least two dimensions at the second location by imaging the person; and reproducing an audio field at the second location from the audio signals, wherein sounds emitted by each of plural means for

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reproducing are controlled based on the position of the head of the person, wherein a particular one of the audio signals is multiplied by a factor related to the position to determine a signal level for the particular one of the audio signals as the person moves around to different locations at the second locations (fig.1-3; par [0041]/sound signal to be adjusting/multiplied by a factor for the volume signal sound adjusting).

But, Boulanger fail to disclose of the determining a desired signal level for the particular one of the audio signals and when the desired signal level is substantially different from a current signal level gradually adjusting the current signal level toward the desired signal level. But, Lau disclose of a system wherein the similar concept of determining a desired signal level for the particular one of the audio signals and when the desired signal level is substantially different from a current signal level gradually adjusting the current signal level toward the desired signal level (fig.2-6; col.6 line 25-65) for purpose of eliminating popping noise when changing the volume level signal. thus, taking the combined teaching of Boulanger and Lau as a whole, it would have been obvious for one of the ordinary skill in the art to have modified Lau with the determining a desired signal level for the particular one of the audio signals and when the desired signal level is substantially different from a current signal level gradually adjusting the current signal level toward the desired signal

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level for purpose of eliminating popping noise when changing the volume level signal.

The combined teaching of Boulanger and Lau as a whole, fail to disclose of modifying the audio signals to achieve a time delay of the sounds emitted by tile plural means for reproducing to maintain equal arrival times of the sounds to the person. But, Jordan et al. disclose of a system wherein the similar concept of sweet spot wherein modifying the audio signals to achieve a time delay of the sounds emitted by tile plural means for reproducing to maintain equal arrival times of the sounds to the person (fig.1 (27); col. 5 line 10-40/delay adjustment based on person for equal time). Thus, it would have been obvious for one of the ordinary skill in the art to have modified the combination with same concept of wherein modifying the audio signals to achieve a time delay of the sounds emitted by tile plural means for reproducing to maintain equal arrival times of the sounds to the person for accurate reproduction of digital multi channel surround sound encoded audio.

Re claim 22, the method according to claim 21, wherein volume of reproduction is controlled based on the position of the head of the person ("fig. 2-4; par [0041]").

Re claim 23, the method according to the claim 21, However, the combined teaching of Boulanger and Lau and Jordan et al. as a whole,, is silent to the specific wherein the delay associated with volume of reproduction by each means for reproducing is controlled based on the positions of the head of the person. But, Boulanger did disclose of the specific wherein determining the image positioning of the head and accordingly adjusting the amplitude and phase of the audio field based on such position (fig.2-3; par [0041]), thus with the above disclosure it is inherent of the existence of such delay associated with volume of reproduction by each means for reproducing is controlled based on the positions of the head of the person.

Re claim 24, the method according to claim 21, wherein the audio field is controlled based on the position of the person's head in three dimensions ("fig.3-4; par [0035-6,0025, 0015,0041]").

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Disler Paul whose telephone number is 571-270-1187. The examiner can normally be reached on 7:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chin Vivian can be reached on 571-272-7848. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/D. P./  
Examiner, Art Unit 2614

/Vivian Chin/  
Supervisory Patent Examiner, Art Unit 2614